

## 8(a) PARTICIPANT BENEFITS REPORT

**Who Submits this Benefits Report Form?:** All 8(a) Participant firms owned by a Tribe, ANC, NHO, or CDC. The parent corporation can submit the requested information on behalf of all the individual subsidiary 8(a) participant firms owned by the respective Tribe, ANC, NHO, or CDC.. For this benefits report, the entity completing this form (either the individual Participant firm or the parent corporation) is considered the “Reporting Entity.” All responses must correlate to the annual business financial statements submitted to SBA.

### CATEGORIES OF BENEFITS

When completing Section II refer to the following six (6) categories that represent an area where benefits are potentially provided to the Native or other communities through the subsidiaries’ or the Participant firm’s participation in the 8(a) program.

Each of the following categories solely applies to this reporting requirement and this form.

- Category 1: Health, Social and Cultural Support

The following types of contributions fall within the category of “Health, Social, and Cultural Support” benefits that the Reporting Entity should report below in **Section II**:

- *Monetary donations or contributions* made by the Reporting Entity including 8(a) Program Participants for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries’ participation in the 8(a) program.
- *Social programs* established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries’ participation in the 8(a) program.
- *Cultural programs* established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries’ participation in the 8(a) program. This may include language revitalization programs, cultural camps, and after school programs.
- *Beneficiary outreach and communication efforts* established and/or funded by the Reporting Entity as a direct or indirect result of its subsidiaries’ participation in the 8(a) program. This may include newsletters, websites, conferences, informational meetings, gatherings, and annual meetings of Native or community members.
- *Death benefits* established and/or funded by the Reporting Entity as a direct or indirect result of its subsidiaries’ participation in the 8(a) program. This may include funeral benefits, life insurance proceeds, and potlatch funds.

- Category 2: Education and Development

The following types of programs fall within the category of “Education and Development” benefits that the Reporting Entity should report in **below in Section II**:

- *Scholarship programs* established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries’ participation in the 8(a) program.



- *Life skills programs* established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - *School program support* established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - *Apprentice programs* established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - *Intern programs* established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - *Training programs* established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program, including Board, Tribal Council, and Management training programs and mentor programs.
- Category 3: Lands

The following types of programs and initiatives fall within the category of "Lands" benefits that the Reporting Entity should report in **below in Section II**:

- *Land management programs* established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - *Subsistence programs (e.g., agriculture farming)* established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - Contributions made by the Reporting Entity as a direct or indirect result of its subsidiaries' participation in the 8(a) program to improve *resource management and enforcement* for the benefit of the Native or other communities.
  - Contributions made by the Reporting Entity as a direct or indirect result of its subsidiaries' participation in the 8(a) program to improve *water management* for the benefit of the Native or other communities.
- Category 4: Economic and Community Development
- The following types of programs and initiatives fall within the category of "Economic and Community Development" benefits that the Reporting Entity should report in **below in Section II**:
  - *Investment in new businesses* made by the Reporting Entity as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - *Community infrastructure* established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - *Support to small businesses or entrepreneurs* given by the Reporting Entity as a direct or indirect result of its subsidiaries' participation in the 8(a) program benefitting the Native or other communities.



- *Federal and state tax payments* made by the Reporting Entity that directly benefited the Native or other communities.
  - *Housing Assistance* given by the Reporting Entity as a direct or indirect result of its subsidiaries' participation in the 8(a) program benefiting Native or other communities.
  - *Energy Assistance* given by the Reporting Entity as a direct or indirect result of its subsidiaries' participation in the 8(a) program benefiting Native or other communities.
- Category 5: Employment

The following types of programs and initiatives fall within the category of "Employment" benefits that the Reporting Entity should report in **below in Section II**:

- *Total number of jobs* directly or indirectly created by the Reporting Entity Subsidiaries' participation in the 8(a) program benefiting the Native or other communities.
  - Programs or initiatives created for *employment assistance and support* benefiting the Native or other communities as a direct or indirect result of the Reporting Entity subsidiaries' participation in the 8(a) program.
- Category 6: Economic Benefits
- The following types of contributions and investments fall within the category of "Economic Benefits" that the Reporting Entity should report in **below in Section II**:
  - Investment or payments made by the Reporting Entity for the support of *elder trusts* as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - Investment or payments made by the Reporting Entity for the support of *settlement trusts* as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - Investment or payments made by the Reporting Entity towards *permanent funds or restricted funds* as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - *Dividends* paid by the Reporting Entity as a direct or indirect result of its subsidiaries' participation in the 8(a) program.
  - *Increase in the value of the equitable interest* in the Reporting Entity as a direct or indirect result of its subsidiaries' participation in the 8(a) program for the past fiscal year.

**SECTION I - ENTITY INFORMATION -**

1. Eligibility: Check all categories that apply (including whether the Reporting Entity is representative of an American Indian Tribe, ANC, NHO, or CDC or whether the Reporting Entity is owned through a wholly-owned holding company):

- American Indian Tribe     
  ANC     
  NHO  
 CDC     
  Wholly-Owned Holding Company

2. Name of Reporting Entity (if different legal entity than the Participant Firm) – American Indian Tribe, ANC, NHO, CDC, or Wholly-Owned Holding Company Owner of Participant(s):

\_\_\_\_\_

Address of Reporting Entity: \_\_\_\_\_

City: \_\_\_\_\_ County: \_\_\_\_\_

State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

3. Reporting Entity Point of Contact Information:

Name: \_\_\_\_\_ Position Held: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Email Address: \_\_\_\_\_

Business Telephone: \_\_\_\_\_ Fax Number: \_\_\_\_\_

4. List the names of the current 8(a) Program Participants owned by the Reporting Entity during the applicable fiscal year and the years in which the firms were certified in the 8(a) program. If more space is needed, provide in an attachment marked as “Attachment – Names of current 8(a) Participant Firms.” If the Reporting Entity and the Participant firm are the same legal entity, then only complete the first line below with information for the one firm.

Name	8(a) Participant Number	Year Certified	Total Revenue	8(a) Revenue	Non-8(a) Revenue

5. Actual net income generated by all 8(a) Program Participant(s): \_\_\_\_\_



- a) Estimated net income generated by Reporting Entity’s 8(a) contracts, excluding non-8(a) work from “6.” above: \_\_\_\_\_
- b) Summarize the methodology utilized in determining the estimate of the net income generated above. If more space is needed, provide in an attachment marked as Attachment I.6.a.
  - \_\_\_\_\_
  - \_\_\_\_\_
  - \_\_\_\_\_
  - \_\_\_\_\_
- c) Is a portion of the net income generated by the 8(a) Program Participant(s) being reinvested into the corporate entities owned by the Reporting Entity? Net income is considered to be reinvested if the Reporting Entity keeps the earnings in the 8(a) firm or in any another subsidiary of the Reporting Entity to increase its capital accounts or make the funds available in a capital investment, hiring of personnel, or otherwise expand the existing corporate entities’ businesses and/or market share.
  - Yes     No    If yes, what percentage? \_\_\_\_\_

**SECTION II – SBA BENEFITS REPORTING CATEGORIES**

Below are six (6) specific categories SBA has identified as potentially providing benefits to the Native or other communities through the firm’s participation in the 8(a) program. Answer each question, making sure to list and describe all applicable programs, initiatives, donations, and investments of funds through the 8(a) participant or other business enterprise.

Each category has a section where the Reporting Entity is provided the opportunity to offer a narrative statement describing both tangible and intangible contributions 8(a) program participant(s) have made to the Native or other communities.

The seventh category allows the Reporting Entity to self-identify and report any contribution or benefit not encompassed in the prior six (6) specific categories.

Background Narrative

- a) Provide a narrative statement describing the community, culture, and history of the Native or community organization associated with the Reporting Entity. If more space is needed, provide in an attachment marked as “Benefits Attachment Background Narrative.”

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- b) If Reporting Entity and/or Participant Firm is Native-owned, state the number of tribal members, shareholders, or Native Hawaiians in Tribe, ANC, or NHO: \_\_\_\_\_

c) If Reporting Entity and/or Participant Firm is a CDC, state the number of members in the community serviced by CDC: \_\_\_\_\_

1. Health, Social and Cultural Support - Category 1

- This category encompasses contributions made by the Reporting Entity and/or Participant Firm to the Health, Social, and Cultural Support of the Native or other communities. The Reporting Entity and/or Participant Firm should identify the total financial contribution made for all programs under this category. List the programs supported and, if known, estimate the total number of beneficiaries and total financial contribution of each identified program.

- Total financial contribution: \_\_\_\_\_

Program	Beneficiaries	Financial Contribution

Provide any additional narrative/information describing Health, Social, and Cultural Support programs established and/or funded by the Reporting Entity and/or Participant Firm for the benefit of the Native or other communities and beneficiaries as a direct or indirect result of its subsidiaries' participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked "Benefits Attachment Category 1."

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2. Education and Development – Category 2

- This category encompasses programs funded by the Reporting Entity and/or Participant Firm to support the Education and Development of the Native or other communities. The Reporting Entity and/or Participant Firm should identify the total financial contribution made for all programs under this category. List the programs supported, and, if known, estimate the total number of beneficiaries and total financial contribution for each identified program.

- Total financial contribution: \_\_\_\_\_



Program	Beneficiaries	Financial Contribution

Provide any additional narrative/information describing Education and Development programs established and/or funded by the Reporting Entity and/or Participant Firm for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked "" Benefits Attachment Category 2".

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**3. Lands Category 3**

This category encompasses programs and initiatives funded by the Reporting Entity and/or Participant Firm to support the Lands of the Native or other communities. The Reporting Entity and/or Participant Firm should identify the total financial contribution made for all programs under this category. List the programs supported, and, if known, estimate the total number of beneficiaries and total financial contribution of each identified program.

- Total financial contribution: \_\_\_\_\_
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Program	Beneficiaries	Financial Contribution

Provide any additional narrative/information describing Lands programs and initiatives established and/or funded by the Reporting Entity and/or Participant Firm for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked "" Benefits Attachment -Category 3".

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4. Economic and Community Development Category 4

This category encompasses programs and initiatives funded by the Reporting Entity and/or Participant Firm to support the Economic and Community Development of the Native or other communities. The Reporting Entity and/or Participant Firm should identify the total financial contribution made for all programs under this category. List the programs supported, and if known, estimate the total number of beneficiaries and total financial contribution for each identified program.

Total financial contribution: \_\_\_\_\_

Program	Beneficiaries	Financial Contribution

Provide any additional narrative/information describing Economic and Community Development programs and initiatives established and/or funded by the Reporting Entity and/or Participant Firm for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked "Benefits Attachment - Category 4".

5. Employment Category 5

This category encompasses programs and initiatives funded by the Reporting Entity and/or Participant Firm to support Employment in the Native or other communities. The Reporting Entity and/or Participant Firm should identify the total financial contribution made for all programs under this category, including the estimated total amount of wages paid to Natives and amount of employment taxes by the Reporting Entity and/or Participant Firm or its subsidiaries as a direct or indirect result of the subsidiaries' participation in the 8(a) program (including the Reporting Entity and/or Participant Firm's and subsidiaries' Board or Tribal Council for service).

Total Financial Contribution: \_\_\_\_\_  
 Estimated Total Amount of Wages Paid to Natives, Members, etc. \_\_\_\_\_  
 Estimated Total Amount of Employment Taxes: \_\_\_\_\_



- i) Report the total number of jobs created by the Reporting Entity and/or Participant Firm’s subsidiaries (include the total number of individuals employed, regardless of location of employment):

Total jobs created: \_\_\_\_\_  
 Total jobs to Natives, Members, etc.: \_\_\_\_\_  
 Total jobs to Non-Natives, non-Members, etc.: \_\_\_\_\_

- ii) Report the total number of jobs directly or indirectly created in the respective communities as a result of the Reporting Entity subsidiaries’ and/or Participant Firm’s participation in the 8(a) BD program, including the sectors of the economy (identified by NAICS codes) in which these jobs were created. If more space is needed, provide an attachment:

Total jobs created in the community: \_\_\_\_\_

- iii) List and describe any programs or initiatives created for *employment assistance and support* benefiting the Native or other communities as a direct or indirect result of the Reporting Entity subsidiaries’ participation in the 8(a) program. The Reporting Entity should list programs supported and estimated total number of beneficiaries.

Program	Beneficiaries

Provide any additional narrative/information describing Employment programs and initiatives established and/or funded by the Reporting Entity and/or Participant Firm for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries’ participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked “Benefits Attachment – Category 5.”

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**6. Economic Benefits Category 6**

This category encompasses Economic Benefits, including investments, payments, and dividends, derived from participation in the 8(a) program. The Reporting Entity and/or Participant Firm should identify the total financial contribution made for all programs under this category. List the programs supported, and if known, estimate the total number of beneficiaries served and total financial contribution for each identified program.

Total financial contribution: \_\_\_\_\_

Program	Beneficiaries	Financial Contribution

Provide any additional narrative/information describing Economic Benefits, including both investments, payments, and dividends, derived from participation in the 8(a) program benefiting the Native or other communities, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked "Benefits Attachment – Category 6."

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7. Other Activities – Category 7

This category encompasses any other benefits derived from participation in the 8(a) program not covered by the specific six categories above. The Reporting Entity and/or Participant Firm should identify the total financial contribution made for all programs under this category. List the programs supported, and, if known, estimate the total number of beneficiaries served and total financial contribution for each identified program.

Total financial contribution: \_\_\_\_\_

Program	Beneficiaries	Financial Contribution

Provide a narrative statement detailing any other benefits the Reporting Entity and/or Participant Firm provides to the Native or other communities not encompassed by the categories above, as a result of its subsidiaries' participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked "Benefits Attachment – Category 7."

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